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jc535 U.S. PTO

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HAROLD J. FASSNACHT

January 19, 1998

Commissioner of Patents and Trademarks  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Re: New Patent Application  
1 $\alpha$ -HYDROXYVITAMIN D<sub>5</sub>, ITS SYNTHESIS AND  
USE IN CANCER PREVENTION AND THERAPY  
(Priority Application No. 60/039,106 filed 02/25/97)  
Inventors: Moriarty, R.M.; Penmasta, R.; Guo, L.; Rao,  
M.S.; and Mehta, R.G.  
Assignee: Steroids, Ltd.

Dear Sir:

Transmitted herewith for filing is the patent application of R.M. Moriarty et al. as above identified. Enclosed are:

1. Specification and Claims;
2. Four sheets of drawings;
3. Declaration and Power of Attorney;
4. Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) & 1.27(b)) -- Independent Inventors;
5. Assignment of Invention and Assignment Cover Sheet;
6. A check in the amount of \$435, representing the \$395 filing fee for a small entity, calculated as shown below and the \$40 Patent Assignment Recordation fee; and
7. A self-addressed stamped return postal card.

	(Col. 1)	(Col.2)	<u>SMALL ENTITY</u>	OTHER THAN A <u>SMALL ENTITY</u>
FOR:	<u>NO. FILED</u>	<u>NO. EXTRA</u>	RATE	FEE OR RATE FEE
BASIC FEE	XXXXXXXXXX	XXXXXXXXXX	XXXX	\$395 XXXX \$790
TOT. CLAIMS	14-20=-06	* 0	X 11 = \$ 0	OR X 22 = \$
INDEP CLAIMS	1-3= -2	* 1	X 41 = \$ 0	OR X 82 = \$

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**SECRET**

cc: Liang Guo w/enclosures  
John T. Allen w/o encl.